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Date: April 25, 2002

By: Carol A. See  
Carol A. See

Docket No. GC698

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application:

Dunn-Coleman et al.

Serial No.: 10/026,994

Filed: DECEMBER 18, 2001

For: **EGVI ENDOGLUCANASE AND NUCLEIC ACIDS  
ENCODING THE SAME**

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Group Art Unit: 1633

Examiner: Unassigned

**TRANSMITTAL LETTER FOR NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**

Box Sequence  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional Application dated February 25, 2002, enclosed please find:

- [X] Two Combined Declarations and Powers of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- [ ] Petition for a \_\_\_\_\_ Month Extension of Time;
- [X] Two Assignment documents, Forms PTO-1595, and the \$80.00 Assignment Recording Fee;
- [ ] Revocation & New Power of Attorney;
- [X] Preliminary Amendment
- [X] Statement of Sameness
- [X] Paper copy of Sequence Listing (5 pages)
- [X] Diskette copy of Sequence Listing

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- ☐ Request for Use of an Identical Computer Readable Form in Another Application Under 37 CFR § 1.821(e);
- ☐ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy of Notice to be returned with response);
- ☒ Form PTO-1533 (copy of Notice to be returned with response); and
- ☐ Other: \_\_\_\_\_.
- ☒ Charge \$210.00 to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC698). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: 4/25/02

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,994	12/18/2001	Nigel Dunn-Coleman	GC698

Genencor International, Inc.  
 925 Page Mill Road  
 Palo Alto, CA 94034-1013



CONFIRMATION NO. 1233

FORMALITIES LETTER



Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, consisting of a stylized 'S' or 'Z' shape with a loop at the top.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE